






**PHARMACEUTICAL COMPOSITIONS COMPRISING NATURAL HUMAN alpha - INTERFERON**





**Patent number:** WO9731649  
**Publication date:** 1997-09-04  
**Inventor:** TARRO GIULIO (IT); BROZZO RENZO (IT)  
**Applicant:** IFI ISTITUTO FARMACOTERAPICO I (IT); TARRO GIULIO (IT); BROZZO RENZO (IT)  
**Classification:**  
- international: A61K38/21  
- european: A61K38/21A  
**Application number:** WO1997IT00040 19970227  
**Priority number(s):** IT1996RM00427 19960614; IT1996RM00136 19960228

**Also published as:**

 WO9731649 (A1)  
 EP0886527 (A1)  
 EP0886527 (A1)  
 US6884411 (B1)  
 EP0886527 (B1)

more &gt;&gt;

**Cited documents:**

 WO8803411  
 XP000674716  
 XP000674717  
 XP000674715

[Report a data error here](#)**Abstract of WO9731649**

Use of natural human alpha -interferon for the preparation of a medicament in liquid form to be administered through peroral route at dosages comprised between 100 UI and 500 UI/day, for therapy of viral infections, in particular viral hepatitis, neoplasia and immune diseases in humans and animals.

---

Data supplied from the **esp@cenet** database - Worldwide

**BEST AVAILABLE COPY**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :

A61K 38/21

A1

(11) International Publication Number:

WO 97/31649

(43) International Publication Date:

4 September 1997 (04.09.97)

(21) International Application Number: PCT/IT97/00040

(22) International Filing Date: 27 February 1997 (27.02.97)

(30) Priority Data:

RM96A000136	28 February 1996 (28.02.96)	IT
RM96A000247	14 June 1996 (14.06.96)	IT

(71) Applicant (for all designated States except US): IFI ISTITUTO FARMACOTERAPICO ITALIANO S.p.A. [IT/IT]; Via Paolo Frisi, 21/23, I-00197 Roma (IT).

(72) Inventors; and

(75) Inventors/Applicants (for US only): TARRO, Giulio [IT/IT]; IFI Istituto Farmacoterapico Italiano S.p.A., Via Paolo Frisi, 21/23, I-00197 Roma (IT). BROZZO, Renzo [IT/IT]; IFI Istituto Farmacoterapico Italiano S.p.A., Via Paolo Frisi, 21/23, I-00197 Roma (IT).

(74) Agents: BANCHETTI, Marina et al.; Ing. Barzano' &amp; Zanardo Roma S.p.A., Via Piemonte, 26, I-00187 Roma (IT).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

## Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: PHARMACEUTICAL COMPOSITIONS COMPRISING NATURAL HUMAN  $\alpha$ -INTERFERON

## (57) Abstract

Use of natural human  $\alpha$ -interferon for the preparation of a medicament in liquid form to be administered through peroral route at dosages comprised between 100 UI and 500 UI/day, for therapy of viral infections, in particular viral hepatitis, neoplasia and immune diseases in humans and animals.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

PHARMACEUTICAL COMPOSITIONS COMPRISING NATURAL HUMAN  $\alpha$ -INTERFERON

The invention concerns pharmaceutical compositions for a peroral administration comprising natural human  $\alpha$ -interferon isolated from lymphoblastoid or leukocytic cells. In particular compositions are useful for therapy of viral infections, in particular viral hepatitis, neoplasia and immunodeficiency syndromes. The interferon efficient dosages are clearly lower than dosages utilized for parenteral administration.

10  $\alpha$ -,  $\beta$ -,  $\gamma$ -interferons are usually administered by injection and are used for therapy.  $\alpha$ -interferon is the most largely utilized interferon (1). In an updated study of medicaments for either acute or chronic viral hepatitis therapy (2), only  $\alpha$ -interferon is widely  
15 accepted as single therapeutic agent.

"Viral hepatitis" means at least five different pathologies, having different agents, namely A, B, C, D, E.

The therapeutic trend is to treat said pathologies with  $\alpha$ -interferon, with dosages according to the kind of  
20 hepatitis, to the overall status of the subject and to other variable factors. In general, further to the interferon treatment an almost normalisation clinical and biochemical parameters is achieved for chronic hepatitis (B, C, D). The interferon activity on acute hepatitis has  
25 not been focused yet, though for hepatitis C, a therapeutic treatment with  $\alpha$ -interferon lowers the chronicity rate of the disease.

Therapeutic cycles indicate the day alternate  
30 administration through subcutaneous route of recombinant  $\alpha$ -interferon (r  $\alpha$ -IFN) at dosages of app. 5.000.000 UI, that in special cases can be up to 9.000.000 UI/day.

The length of therapeutic cycles is of from six months up to one year (nine months average).

In many cases, undesired side effects interfere with the course of therapeutic treatment. In fact some patients, in particular those at an advanced stage of disease or with severe physiologic damages, do not  
5 tolerate the therapy and therefore the treatment should be interrupted. Claimed side effects are: fever, nausea, vomit, tiredness, algia and depression.

Moreover the therapeutic cost are quite relevant both due to the high amount of active principle (more  
10 than 8.000 new cases each year in Italy and 300.000 world-wide) and to the necessity of hospitalisation just in consideration of said side effects further to the parenteral administration (day hospital or outpatients' department).

15 Finally, as far as chronic active viral hepatitis the only alternative to the interferon treatment is represented by liver transplant.

The clinical trend is to increase the posology dosage and the length of therapeutic cycle (3), but  
20 clinical data show (4): severe side effects; low acceptance by the patient; high therapeutic costs. Garcia et al. (5) report that the estimate for each cured patient is between 700.000 and 2.000.000 English pounds Capri S. (6) report that the cost of each interferon  
25 therapeutic treatment is of Lit. 70.000.000/subject.

It is therefore evident that the actual composition of interferon for therapeutic treatment of hepatitis is not optimal.

Moreover clinical results show a better therapeutic  
30 efficacy in patients which are not the main target for therapy, namely: young subjects, subjects with a disease at an initial stage, subjects infected with genotypic virus 2 or 3, low viremia subjects. On the contrary a less therapeutic efficacy can be found in those subjects  
35 which really need the therapeutic treatment (subjects poco respondent), as subjects affected by an aggressive

form (active chronic hepatitis), long length diseases affected subjects, over 50 subjects. Thus patients that really need an immediate interferon treatment are those that have a lower chance of success (7).

5           The authors of the instant invention have found a pharmaceutical composition comprising natural human  $\alpha$ -interferon from either lymphoblastoid or leukocytic cells to be administered through peroral route, with dosages clearly lower than those used for parenteral  
10 administration. The composition maintains as unaltered chemical-physical, biological and pharmacological characteristics of the active principle, having a therapeutic effect substantially analogous to the compositions of prior art but overcoming disadvantages thereof.  
15

          The composition is preferably in a liquid form with a concentration of 100 to 500 UI/ml, preferably approx. 150 UI/ml, most preferably in mono-dosage units, most preferably of appr. 1 ml.

20           The composition acts by activating the defence mechanisms against viral infections, tumour growth and stimulates an immune response.

          The utilisation of natural interferon was chosen for the better chances of therapeutic success with  
25 respects to recombinant interferon, obtained by cloning of a single subtype.

          Though leukocytic and lymphoblastoid interferons exert the same therapeutic properties, the former can be advantageously produced. As a matter of fact it is  
30 obtainable by stabilised cell lines, without the need of blood donors.

          Processes for purifying interferons are known to those skilled in the art, and for example are shown in US Patent 4,732,683; in Cantell K. and Hirvonen S. Texas  
35 Reports on Biology and Medicine, Vol. 35, p.138, 1977; in Zoon K.C. et al. Science 207, p. 527, 1980.



The peroral route is generally much more accepted by subjects, makes easier posology schemes and dosages, lowers to stops the antigenic risk, induces the transmission and amplification signal mechanism, with a  
5 mirato therapeutic effect, with dosages 100 times lower than known formulations for parenteral administrations.

The low dosage annuls the risk of toxic effects; allows a better availability of medicine to satisfy an increasing request and a drastic lowering of therapeutic  
10 costs.

The preferred formulation in dosage units of small volumes (1 ml) to drink allows an immediate availability of the active principle, a good standard of cleanliness from the monodosage primary container; the certainty of  
15 the taken dosage; the taking of the active principle to be immediately adsorbed by the oro-pharyngeal mucosa, easily preventing the deglutition, an ease and safe way of administration for all of patients, as opposite to lozenges or tablets formulations that should be kept in  
20 the mouth till to full dissolution, with high chances of swallowing.

Moreover the composition of the invention is conveniently used for home therapies or on the job place, as precautionary measure for the prophylaxis of viral  
25 pathologies, and to control chronic diseases which need of long therapeutic cycles (even yearly) and often recurrent.

The composition can be used also in association with other drugs to get synergism and optimize  
30 therapeutic schemes.

The following clinical studies show the therapeutic effect. A comparison of the electrophoretic protein pattern and of the concentration of IgG, IgA, IgM, before the beginning of the peroral therapy with natural human  
35  $\alpha$ -interferon of hepatitis or other pathologies affected subjects, before and after two weeks of therapeutic

treatment, allows to foresee qualitatively the subject response.

Subjects which respond to the therapy with 450UI/day dosages show a decrease of  $\alpha_2$ - and  $\beta$ -globulins, of IgGs, of the IgG/IgA ratio, together to an increase of IgA and IgM concentrations, have a good chance of eliminate the HBV antigen and to seroconvert, namely to confer a stable remission of the pathology.

On the other hand subjects which respond to the same therapy with a decrease of albumin serum concentration, of IgGs, IgAs, IgMs, together to an increase of  $\alpha_1$ -globulin fractions, should seroconvert with longer times.

Moreover subjects that respond with an increase of IgGs, of the IgG/IgA ratio, together to a decrease of IgM and of the IgA/IgM ration, could be resistant to the therapy.

The monitoring of said parameters (markers) is useful for a planning of therapeutic strategies in clinic and also for the clinical practitioner.

#### Clinical studies on healthy subjects

Table 1 shows different therapeutic schemes.

Table 1

Exp.	active comp.	No. admin. /day	Dosages	days trt.	blood bleedings
A	aA $\alpha$ -IF	1(3dsg)	450 UI	1	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub> ,
	aB placebo	1(3dsg)	-	1	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub>
B	bA $\alpha$ -IF	1(3dsg)	450 UI	5	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub> , T <sub>4</sub> , T <sub>5</sub> , T <sub>6</sub> , T <sub>7</sub>
	bB placebo	1(3dsg)	-	5	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub> , T <sub>4</sub> , T <sub>5</sub> , T <sub>6</sub> , T <sub>7</sub>
C	cA <sub>1</sub> $\alpha$ -IF	2(1dsg)	300 UI	1	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub>
	cA <sub>2</sub> $\alpha$ -IF	3(1dsg)	450 UI	1	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub>
	cb placebo	3(1dsg)	-	1	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub>
D	dA <sub>1</sub> $\alpha$ -IF	2(1dsg)	300 UI	5	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub> , T <sub>4</sub> , T <sub>5</sub> , T <sub>6</sub> , T <sub>7</sub>
	dA <sub>2</sub> $\alpha$ -IF	3(1dsg)	450 UI	5	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub> , T <sub>4</sub> , T <sub>5</sub> , T <sub>6</sub> , T <sub>7</sub>
	dB placebo	3(1dsg)	-	5	T <sub>0</sub> , T <sub>1</sub> , T <sub>2</sub> , T <sub>3</sub> , T <sub>4</sub> , T <sub>5</sub> , T <sub>6</sub> , T <sub>7</sub>



T<sub>0</sub> = background; T<sub>1</sub> = 1d further the first administration,  
T<sub>2</sub> = 2d further the first administration, T<sub>3</sub> = 3d further  
the first administration, T<sub>4</sub> = 4d further the first  
administration, T<sub>5</sub> = 5d further the first administration,  
5 T<sub>6</sub> = 1d after the treatment suspension, T<sub>7</sub> = 2d after the  
treatment suspension.

The change of the induced biological response with  
respect to the therapeutic scheme, has been measured on  
samples of blood, taken at different times. In particular  
10 the activity with respect to the day dosage of active  
principle, to the mono- or pluri-administration, to the  
length of the therapeutic cycle was measured.

The analysis of data show that natural human  $\alpha$ -  
interferon from either lymphoblastoid or leukocytic  
15 cells, administered at low dosages for a peroral route,  
is able to modulate (according to the dosage and to the  
length of the therapeutic cycle) the expression of  
membrane antigen of healthy subject blood mononuclear  
cells. In particular, according to therapeutic scheme,  
20 the pharmaceutical composition seems to be able to  
increase both CD4 and CD8 cell population. It is also  
evident an increased expression of markers of cell  
activation, as DR antigens and interleukin 2 receptor.

The therapeutic scheme with 450 U/die x 5 d (exp.b)  
25 is the one provided better results, as shown in Tables 2  
and 3. In fact there is an increase (%) and absolute) of  
CD3, CD4, DR1, CD25 lymphocytes. Said increases are,  
according to different cases, better evident at T<sub>3</sub>, T<sub>4</sub>, T<sub>5</sub>  
times to later decrease at T<sub>6</sub> and T<sub>7</sub> times.

30 The same posology dosage, but with a shorter  
therapeutic cycle (1 day) (exp.a), interferes less  
evidently with the % and absolute numbers of mononuclear  
cells in the blood (Tables 4 e 5). In fact in this  
experiment an increase of average percentage values but  
35 not of absolute T, CD8, and class II hystocompatibility  
antigen lymphocytes values, is evident at time T<sub>3</sub>.

Other experimental conditions show lower increases of the immune response.

Therefore, natural human  $\alpha$ -interferon from either lymphoblastoid or leukocytic cells, administered at low dosages through peroral route, shows an important role in modulating the immune response, both in the phase afferent than efferent, and has a therapeutic application for the treatment of infective diseases and of other conditions of immunodeficiency.

10        Clinical studies on hepatitis subjects

      Viral B Hepatitis

14 patients affected by chronic viral B hepatitis, with an age comprised between 4 and 59, were used for random studies.

15        All of subjects were previously treated for different periods ranging from some months to some years with steroids, or with steroid-azothiopyrine, with no beneficial effects, neither for the clinical symptomatology nor for the biochemical parameters of the disease, which evolved, in some cases, to hepatic cirrhosis.

20        The therapeutic treatment of a one administration of 150U/day was initiated immediately after the suspension of the previous treatment, and effects of said treatment were monitored by checking any alteration of the immune response; of the haematological and biochemical parameters; of serum markers of the viral infection and of the histochemistry of hepatic biopsic samples.

25        The time of observation varied from 15 to 32 months and results can be summarized in the following:

30        1) all of patients during the first 3-6 weeks of treatment registered a transient decay of hepatic biochemical functions (i.e. a 2-3 fold increase of alanineaminotransferase (ALT) levels), with no clinical symptoms of disease worsening;

2) the phenomenon goes on for 4-6 weeks;

3) in all of treated patients an intense activation of the immune system was observed, even after the therapeutic treatment;

5           4) 7 patients eliminate HBV DNA and HBeAg from serum and stable seroconvert;

5) 1 patient has an HBcAg increased title, more than the original value;

10           6) in other 9 patients said titre decreases significantly.

Therefore, 50% of patients get a stable remission of the disease.

#### Viral C Hepatitis

15           The therapeutic standard of viral hepatitis C foresees the use of  $\alpha$ -interferon through parenteral route.

20           6 active chronic hepatitis C affected patients were subjected to therapy with peroral administration at 150U/die, by starting the treatment just after the suspension of the steroid therapy.

25           The observation time (equal to the length of the treatment) resulted to be variable from 19 to 69 weeks. In general the treatment was well tolerated and all of patients registered a significant increase of vivacity and appetite, with a better tolerance to physical exercises.

30           No patients got a normalization of transaminase levels during the observation period, but one which registered the biochemical and clinical remission of the disease, after the treatment suspension at the 19th week due to an increasing of articular pains.

Results are shown in tables 2-5.

#### BIBLIOGRAPHY

35           1) Howard M. et al. Le Scienze n.311 Vol LIII 72-80 (1994).

- 2) Saracco G., Rizzetto M. Biomed. Pharmacother. 49 (2), 55-57 (1995).
- 3) Kasahara A.K., et al., Hepatology; 21, 291-297 (1995).
- 4) Paoletti A. et al.; Clin. Ter. 146(5), 343-349 (1995).
- 5 5) Garcia De Ancos J.L. et al.; J. Hepatol. 11:s11-s18 (1990).
- 6) Capri S. Adis International, Milano, pp 41-49 (1994).
- 7) Bianchi F.B., La rivista del medico pratico, Ott. (suppl.5) (1995).

TREATMENT	TIME	%CD3	%CD4	%CD8	%CD25	%MHICII	%B	%NK	%CD14
450UI/d x 5d 3ds	T <sub>0</sub>	69,2±4,9	42,8±4,3	26,3±2,9	1,4±0,9	7,5±0,8	11,5±1,1	6,9±0,7	10,3±1,6
PLACEBO x 5d 3ds	T <sub>0</sub>	71,3±5,2	41,7±4,1	24,5±3,5	<0,5	8,1±1,2	13,1±1,6	8,1±1,3	9,3±1,2
450UI/d x 5d 3ds	T <sub>1</sub>	70,1±5,1	43,1±4,5	25,8±3,1	<0,5	8,2±1,3	12,1±1,4	7,2±1,3	3,9±1,4
PLACEBO x 5d 3ds	T <sub>1</sub>	72,4±5,4	40,8±3,9	25,3±3,8	<0,5	8,7±1,4	12,7±1,8	8,2±1,5	10,1±1,3
450UI/d x 5d 3ds	T <sub>2</sub>	70,2±5,1	44,2±3,1	23,2±3,1	1,7±1,3	9,1±1,3	12,5±1,6	7,1±0,9	11,1±1,5
PLACEBO x 5d 3ds	T <sub>2</sub>	70,8±5,3	41,1±4,2	24,7±3,7	1,2±0,9	8,7±1,4	11,4±1,6	6,9±1,9	10,8±1,7
450UI/d x 5d 3ds	T <sub>3</sub>	69,8±5,7	49,4±4,9	24,1±3,6	2,5±1,6	14,2±1,3	12,1±1,4	7,2±1,1	9,7±1,8
PLACEBO x 5d 3ds	T <sub>3</sub>	71,3±5,6	41,5±4,3	24,4±3,5	<0,5	8,5±1,3	13,1±1,8	6,9±1,7	10,1±1,8
450UI/d x 5d 3ds	T <sub>4</sub>	72,3±5,8	49,7±5,1	23,8±3,8	2,3±1,7	14,2±2,5	12,5±1,8	6,8±0,9	9,4±1,5
PLACEBO x 5d 3ds	T <sub>4</sub>	69,8±5,3	40,9±4,2	25,2±4,3	<0,5	7,9±0,9	12,9±1,9	7,1±0,7	11,6±2,1
450UI/d x 5d 3ds	T <sub>5</sub>	71,8±5,4	53,3±4,9	74,2±4,1	2,5±1,6	14,2±1,9	13,5±2,1	7,3±0,9	11,3±1,6
PLACEBO x 5d 3ds	T <sub>5</sub>	70,6±5,5	41,3±4,1	25,9±4,4	1,4±1,3	8,1±1,3	12,6±1,4	7,5±0,9	9,9±2,3
450UI/d x 5d 3ds	T <sub>6</sub>	69,7±5,2	50,7±4,7	23,7±4,1	1,6±0,9	11,3±1,5	12,8±1,9	6,9±0,6	10,8±1,9
PLACEBO x 5d 3ds	T <sub>6</sub>	71,3±5,6	42,3±4,3	24,7±3,8	<0,5	7,9±1,4	11,4±1,1	7,3±0,5	10,4±1,9
450UI/d x 5d 3ds	T <sub>7</sub>	70,2±5,1	45,3±4,4	24,2±3,8	1,1±0,9	8,7±1,1	12,3±1,6	7,1±0,7	11,2±7,1
PLACEBO x 5d 3ds	T <sub>7</sub>	71,5±5,8	41,5±3,9	25,1±4,1	<0,5	8,1±1,6	11,9±1,4	7,8±0,8	9,8±1,7

b vs a -  $p < 0,05$  ; c vs a -  $p < 0,01$  ; e vs d -  $p < 0,01$  ; f vs d =  $p < 0,05$  Student's "t" test

Tab. 2 -

TREATMENT	TIME	CD3 n°/mm <sup>3</sup>	CD4 n°/mm <sup>3</sup>	CD8 n°/mm <sup>3</sup>	CD25 n°/mm <sup>3</sup>	MHCII n°/mm <sup>3</sup>	IL n°/mm <sup>3</sup>	NK n°/mm <sup>3</sup>	CD14 n°/mm <sup>3</sup>
450UI/d x 5d 3ds	T <sub>0</sub>	1776±323	1074±208	560±145	35±23	188±60	288±87	173±88	177±78
PLACEBO x 5d 3ds	T <sub>0</sub>	1658±220	970±195	565±171	<13	188±68	305±77	188±90	203±88
450UI/d x 5d 3ds	T <sub>1</sub>	1858±128	1142±213	684±95	<13	217±53	320±65	191±73	213±95
PLACEBO x 5d 3ds	T <sub>1</sub>	1784±195	1005±191	623±182	<13	214±73	313±142	302±85	216±90
450UI/d x 5d 3ds	T <sub>2</sub>	1988±130	1251±115	657±98	48±33	258±43	354±70	301±73	196±138
PLACEBO x 5d 3ds	T <sub>2</sub>	1746±183	1034±197	594±182	30±20	215±103	281±87	170±84	205±140
450UI/d x 5d 3ds	T <sub>3</sub>	1878±132	1339±223	648±190	67±40	382±65	326±65	194±78	243±75
PLACEBO x 5d 3ds	T <sub>3</sub>	1555±190	905±230	530±81	<11	185±130	286±52	150±99	234±72
450UI/d x 5d 3ds	T <sub>4</sub>	1994±178	1325±168	539±195	62±43	381±90	336±145	183±75	187±48
PLACEBO x 5d 3ds	T <sub>4</sub>	1733±213	1138±197	701±200	<11	230±121	359±174	198±76	167±69
450UI/d x 5d 3ds	T <sub>5</sub>	2001±175	1456±283	579±203	70±40	399±108	379±88	205±73	197±140
PLACEBO x 5d 3ds	T <sub>5</sub>	1720±226	1007±195	531±132	34±31	197±115	307±153	183±71	196±731
450UI/d x 5d 3ds	T <sub>6</sub>	1719±170	1238±175	505±170	39±23	379±138	316±84	170±75	213±68
PLACEBO x 5d 3ds	T <sub>6</sub>	1578±230	736±300	547±138	<11	175±132	252±126	162±62	242±74
450UI/d x 5d 3ds	T <sub>7</sub>	1704±128	1058±170	586±105	27±23	211±128	298±97	172±78	197±83
PLACEBO x 5d 3ds	T <sub>7</sub>	1595±235	924±191	559±195	<11	180±51	265±133	174±65	228±90

Student's "t" test

b vs s - p&lt;0,05 ; d vs c - p&lt;0,05 ; f vs c - p&lt;0,01

Tab. 3 -



TREATMENT	TIME	%CD3	%CD4	%CD8	%CD25	%MHCII	%B	%NK	%CD14
450UI/d x 1d 3ds	T0	70,3±5,7	42,4±3,8	25,3±2,6	1,7±1,4	7,2±0,8	9,7±1,4	8,4±0,9	8,4±0,7
PLACEBO x 1d 3ds	T0	69,9±5,3	43,8±4,2	24,3±2,7	<0,5	7,9±0,9	10,9±1,7	7,8±0,8	9,8±0,9
450UI/d x 1d 3ds	T1	69,4±5,5	43,9±4,5	24,8±1,9	<0,5	8,3±1,3	10,5±1,7	9,3±2,1	8,3±0,8
PLACEBO x 1d 3ds	T1	70,2±5,9	43,5±4,4	23,8±2,5	<0,5	8,2±1,3	11,2±1,8	7,3±1,2	8,5±0,6
450UI/d x 1d 3ds	T2	73,6±6,1	43,5±4,3	27,3±3,1	<0,5	8,1±1,2	11,2±2,1	10,7±4,5	9,3±1,5
PLACEBO x 1d 3ds	T2	70,1±5,6	44,1±4,7	24,7±3,1	1,4±0,9	7,7±1,4	12,1±2,7	8,1±0,9	8,8±1,3
450UI/d x 1d 3ds	T3	77,8±6,2	44,1±4,8	2,7±2,4	2,3±1,9	11,2±1,5	10,9±1,9	8,3±0,7	12,2±3,1
PLACEBO x 1d 3ds	T3	70,3±5,4	43,9±5,1	24,7±3,3	<0,5	8,1±0,9	10,5±1,7	8,5±1,6	10,7±1,4

Student's "t" test

b vs a = p<0,01 ; c vs d = p<0,05 ; e vs f = p<0,05

Tab. 4 -

TREATMENT	TIME	CD3 n°/mm <sup>3</sup>	CD4 n°/mm <sup>3</sup>	CD8 n°/mm <sup>3</sup>	CD25 n°/mm <sup>3</sup>	MHCII n°/mm <sup>3</sup>	IB n°/mm <sup>3</sup>	NK n°/mm <sup>3</sup>	CD14 n°/mm <sup>3</sup>
450UI/d x 1d 3ds	T0	1521±223	917±182	547±156	37±30	156±77	210±80	182±80	182±75
PLACEBO x 1d 3ds	T0	1615±222	1012±197	561±162	<12	183±81	252±99	180±86	210±81
450UI/d x 1d 3ds	T1	1501±218	949±189	536±141	<11	180±128	227±97	201±57	192±79
PLACEBO x 1d 3ds	T1	1637±236	1014±202	555±188	<12	191±80	261±72	170±89	177±63
450UI/d x 1d 3ds	T2	1587±132	938±183	589±97	<11	175±126	242±85	230±98	215±72
PLACEBO x 1d 3ds	T2	1723±329	1083±189	607±172	34±21	189±82	297±62	199±71	206±80
450UI/d x 1d 3ds	T3	1654±234	940±184	631±101	49±41	238±124	231±91	176±76	234±67
PLACEBO x 1d 3ds	T3	1673±124	1045±178	588±76	<12	193±91	250±49	202±94	251±82

Student's "t" test

b vs a = p<0,05 ; d v' p<0,05 ; f vs e = p<0,01

Tab. 5 -

## CLAIMS

1. Use of natural human  $\alpha$ -interferon for the preparation of a medicament in liquid form to be administered through peroral route at dosages comprised  
5 between 100 UI and 500 UI/day, for therapy of viral hepatitis in humans and animals.

2. Use of natural human  $\alpha$ -interferon for the preparation of a medicament in liquid form to be administered through peroral route at dosages comprised  
10 between 100 UI and 500 UI/day, for therapy of neoplasia and immunologic diseases in humans and animals.

3. Use of natural human  $\alpha$ -interferon according to claims 1 or 2 wherein said interferon is obtained from lymphoblastoid cell cultures.

15 4. Use of natural human  $\alpha$ -interferon according to claims 1 or 2 wherein said interferon is obtained from lymphocyte cells.

5. Use of natural human  $\alpha$ -interferon according to any of previous claims wherein said medicament is  
20 administered in mono dosage units of appr. 1 ml.

6. Pharmaceutical liquid composition for peroral administration comprising natural human  $\alpha$ -interferon either from lymphoblastoid cell cultures or from lymphocyte cells at a concentration between 100 UI/ml and  
25 500 UI/ml.

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/IT 97/00040

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 A61K38/21

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 88 03411 A (AMARILLO CELL CULTURE COMPANY) 19 May 1988 see the whole document ---	1-6
X	ARCHIVUM IMMUNOLOGIAE ET THERAPIAE EXPERIMENTALIS 41 (3-4). 1993. 259-265, XP000674716 GEORGIADIS J A: "Early changes in the plasma proteins of patients treated with low doses of oral natural human interferon alpha ( IFN -alpha)." see the whole document --- -/--	1-6

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*&\* document member of the same patent family

Date of the actual completion of the international search

13 June 1997

Date of mailing of the international search report

07.07.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. ( + 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax ( + 31-70) 340-3016

Authorized officer

Moreau, J

# INTERNATIONAL SEARCH REPORT

Inter      nal Application No  
PCT/IT 97/00040

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>ARCHIVUM IMMUNOLOGIAE ET THERAPIAE EXPERIMENTALIS 41 (3-4). 1993. 237-240, XP000674717 RATAJCZAK B: "Observation of the effect of low oral doses of human leukocytic interferon alpha in children with chronic HBV infection and impaired immune responses." see the whole document</p> <p style="text-align: center;">---</p>	1-6
P,X	<p>ARCHIVUM IMMUNOLOGIAE ET THERAPIAE EXPERIMENTALIS 44 (5-6). 1996. 359-366, XP000674715 ZIELINSKA W ET AL: "Comparison of the long-term effects of treatment with oral and parenteral interferon alpha in chronic viral hepatitis patients." see the whole document</p> <p style="text-align: center;">-----</p>	1-6

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Inter. Application No

PCT/IT 97/00040

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 8803411 A	19-05-88	AU 625431 B	09-07-92
		AU 1222788 A	01-06-88
		AU 2634592 A	03-12-92
		CA 1320905 A	03-08-93
		DE 3789239 D	07-04-94
		DE 3789239 T	16-06-94
		EP 0341258 A	15-11-89
		HK 24695 A	03-03-95
		IE 61337 B	02-11-94
		KR 9603377 B	09-03-96
		NO 176995 B	27-03-95
		OA 9144 A	31-10-91
		US 5019382 A	28-05-91
		ZA 8708295 A	03-05-88

---



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : <b>A61K 38/21</b></p>	<p><b>A1</b></p>	<p>(11) International Publication Number: <b>WO 97/31649</b> (43) International Publication Date: 4 September 1997 (04.09.97)</p>
<p>(21) International Application Number: PCT/IT97/00040 (22) International Filing Date: 27 February 1997 (27.02.97) (30) Priority Data: RM96A000136 28 February 1996 (28.02.96) IT RM96A000427 14 June 1996 (14.06.96) IT (71) Applicant (for all designated States except US): IFI ISTITUTO FARMACOTERAPICO ITALIANO S.p.A. [IT/IT]; Via Paolo Frisi, 21/23, I-00197 Roma (IT). (72) Inventors; and (75) Inventors/Applicants (for US only): TARRO, Giulio [IT/IT]; IFI Istituto Farmacoterapico Italiano S.p.A., Via Paolo Frisi, 21/23, I-00197 Roma (IT). BROZZO, Renzo [IT/IT]; IFI Istituto Farmacoterapico Italiano S.p.A., Via Paolo Frisi, 21/23, I-00197 Roma (IT). (74) Agents: BANCHETTI, Marina et al.; Ing. Barzano' &amp; Zanardo Roma S.p.A., Via Piemonte, 26, I-00187 Roma (IT).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: PHARMACEUTICAL COMPOSITIONS COMPRISING NATURAL HUMAN <math>\alpha</math>-INTERFERON (57) Abstract  Use of natural human <math>\alpha</math>-interferon for the preparation of a medicament in liquid form to be administered through peroral route at dosages comprised between 100 UI and 500 UI/day, for therapy of viral infections, in particular viral hepatitis, neoplasia and immune diseases in humans and animals.</p>		

\*(Referred to in PCT Gazette No. 46/1998, Section II)



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

This Page is inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images  
problems checked, please do not report the  
problems to the IFW Image Problem Mailbox**